
Emergency and Abnormal Situations Project

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NASA Ames Research Center



Human Factors
research and technology

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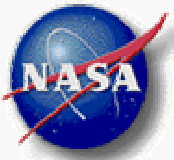
The Challenge

Emergency and abnormal situations:

- are often time critical, complex, and/or ambiguous
- are high stress, high workload, and a great deal is at stake
- require exceptionally high levels of coordination inside and outside of the airplane

Emergency and abnormal procedures:

- are generally focused on aircraft systems rather than on the situation as a whole
- are practiced seldom (twice a year or less) and used rarely
- are often highly dependent on fragile cognitive processes
- **when needed, are crucial and must be performed correctly**



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Industry Contacts and Consultants

Manufacturers:	Boeing, Airbus Industries, BAE Systems
Regulatory Agencies:	FAA, CAA (UK), ICAO
Unions and Trade Groups:	ALPA, APA, SWAPA, ATA
Accident Investigation Bodies:	NTSB, TSB of Canada
Airlines:	Southwest Airlines, United Airlines, Continental Airlines, American Airlines, Fed Ex, Aloha Airlines, Hawaiian Airlines, Air Canada, Cathay Pacific, Airborne Express, UPS, US Airways, TWA (prior to merger)









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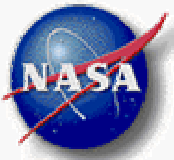


Emergency and Abnormal Situations Project

Taxonomy of the Domain

15 Different Categories of Issues:

-  Broad, Over-arching Issues (3)
-  Issues Related to Checklists and Procedures (3)
-  Issues Related to Humans (5)
-  Issues Related to the Aircraft (2)
-  Issues Related to Training (1)
-  Selected Emergency Equipment and Evacuation Issues (1)



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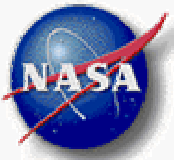


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- ☐ Issues Related to Training
- ☐ Selected Emergency Equipment and Evacuation Issues



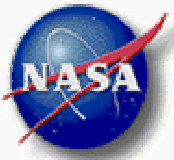
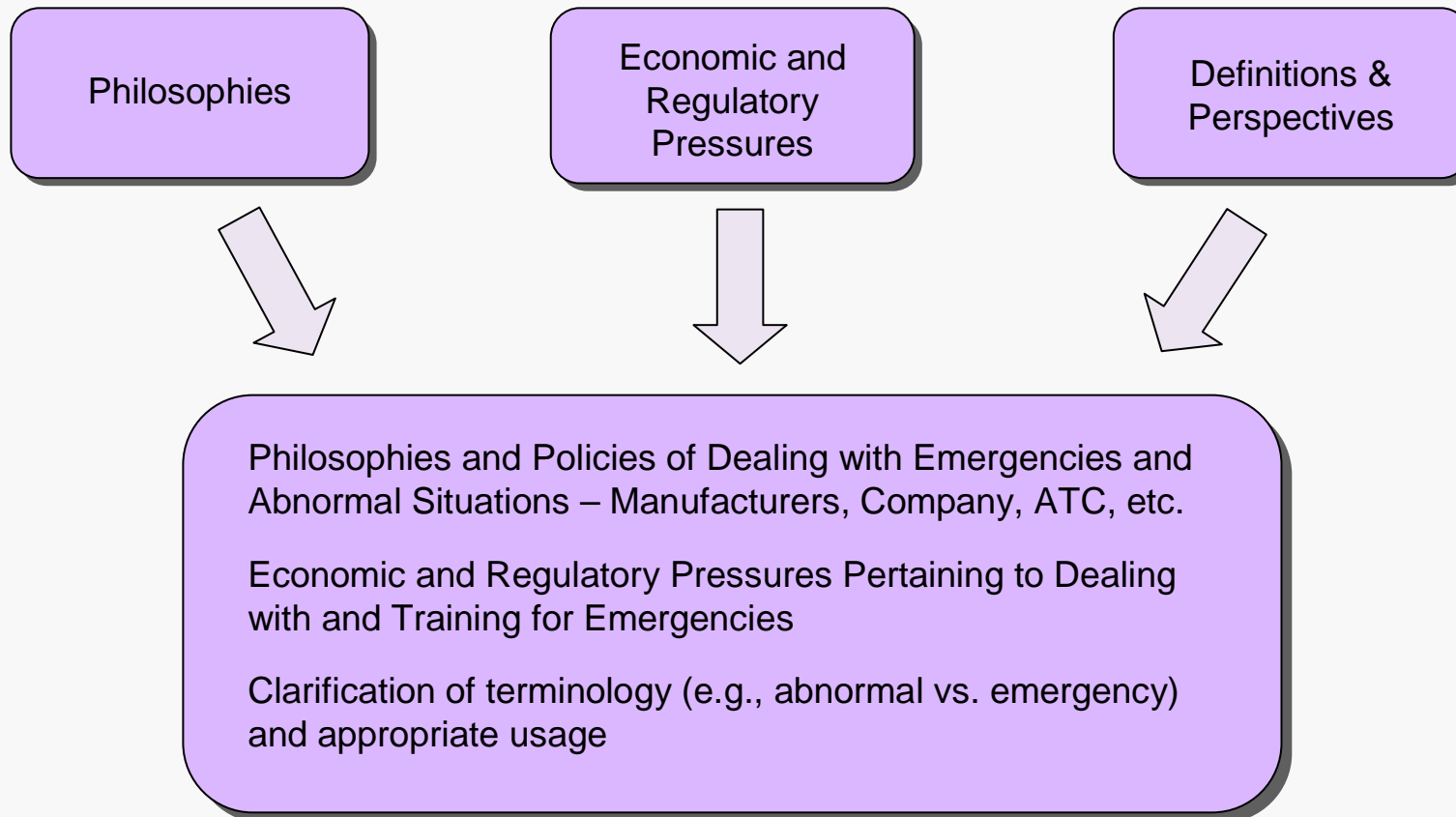
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Taxonomy of the Domain

Broad, Over-arching Issues



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Philosophy of Response to Emergencies

Evident in Checklist Design



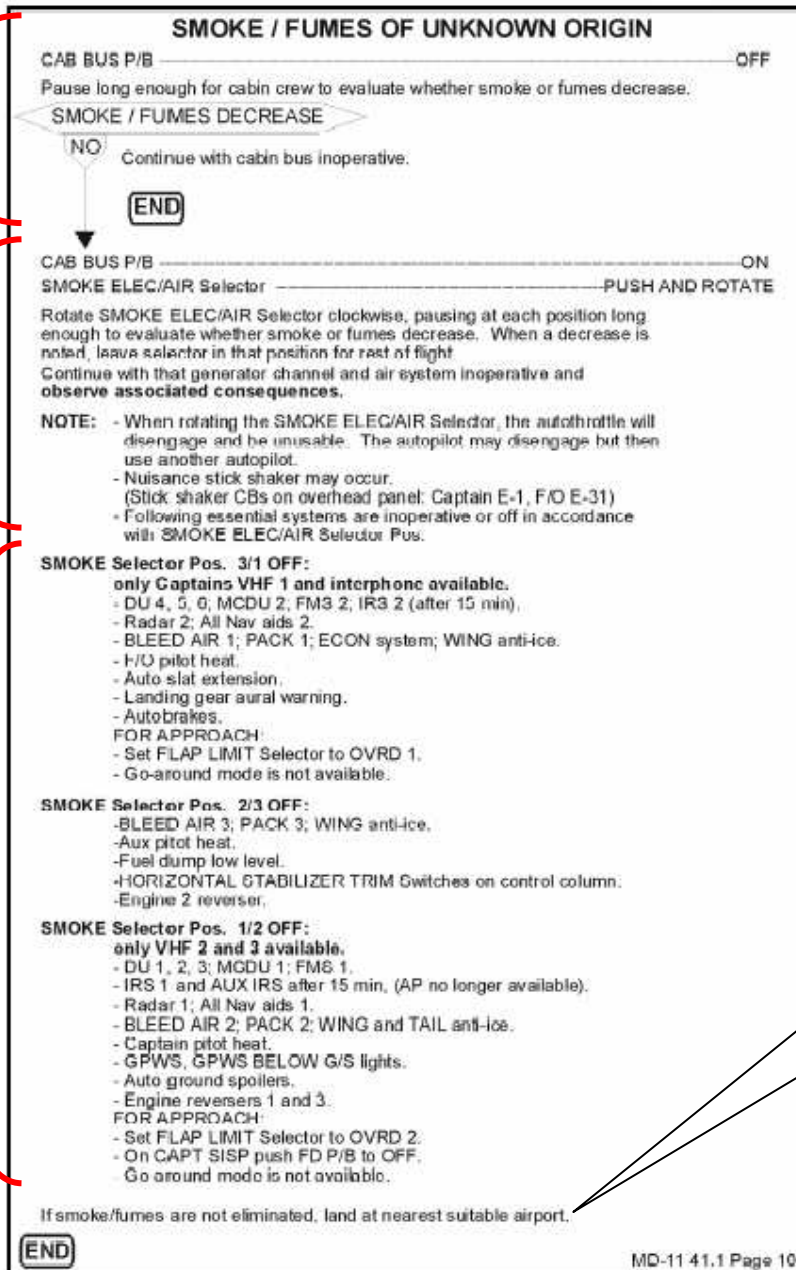
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*MD-11 In-flight Fire
Nova Scotia, Canada
September 2, 1998*

OCT/25 JAN.96	EMERGENCY CHECKLIST ALERT AND NON-ALERT	md-11	41.1 Page 9
AIR CONDITIONING SMOKE			
ECON P/B -----		OFF	
SMOKE DECREASES			
NO			
No further action required.			
END			
AIR SYSTEM P/B -----		MANUAL	
ECON P/B -----		ON	
PACK 1 -----		OFF	
SMOKE DECREASES			
NO			
BLEED AIR 1 -----		OFF	
1 - 3 ISOL -----		ON	
DO NOT activate BLEED AIR 1 or PACK 1 for remainder of flight.			
END			
PACK 1 -----		ON	
PACK 3 -----		OFF	
SMOKE DECREASES			
NO			
BLEED AIR 3 -----		OFF	
1 - 3 ISOL -----		ON	
DO NOT activate BLEED AIR 3 or PACK 3 for remainder of flight.			
END			
PACK 3 -----		ON	
PACK 2 -----		OFF	
SMOKE DECREASES			
NO			
BLEED AIR 2 -----		OFF	
1 - 2 ISOL -----		ON	
DO NOT activate BLEED AIR 2 or PACK 2 for remainder of flight.			
END			
PACK 2 -----		ON	
Smoke is not of air conditioning origin. Refer to EMERGENCY Procedure - SMOKE / FUMES OF UNKNOWN ORIGIN.			
END			

MD-11 41.1 Page 9



If smoke/fumes are not eliminated, land at nearest suitable airport

DC-9-32 In-flight Fire
Miami, Florida
May 11, 1996

ELECTRICAL SMOKE OR FIRE

OXYGEN MASKS AND SMOKE GOGGLES	ON/100%
RADIO RACK Switch	VENTURI
CABIN PRESSURE Control	MANUAL
EMER PWR Switch	ON
GEN Control and APU Bus Switches	OFF

NOTE: Wait a reasonable time to determine whether to follow step A or B below.

A If smoke continues:

AC and DC BUS X TIE Switches	OPEN
R & L GEN or APU BUS Switches	ON
F/O FLT INSTRUMENTS	CHECK
EMER PWR Switch	OFF
AC EMERG FEED C/B's (K10 & L11)	PULL

NOTE: If smoke disappears, fault is on AC emergency bus. If smoke continues:

AC EMERG FEED C/B's (K10 & L11)	RESET
DC EMERG FEED C/B (M36)	PULL

[930, 960 Series A/C (N37)]

NOTE: If smoke disappears, fault is on DC emergency bus. If smoke continues:

DC EMERG FEED C/B (M36)	RESET
-------------------------	-------

[930, 960 Series A/C (N37)]

BATT Switch	OFF
-------------	-----

NOTE: If smoke disappears, fault is on battery bus. If smoke continues:

BATT Switch	ON
BATT DIRECT BUS C/B's(Overhead)	PULL

NOTE: If smoke continues:

BATT DIRECT BUS C/B's(Overhead)	RESET
DC TRANSFER BUS FEED C/B(M35)	PULL

[930, 960 Series A/C (N37)]

[A/C #960 (M36)]

B If smoke stops or decreases, at Captain's discretion:

AC & DC X-TIE Switches	OPEN
LEFT GEN Switch	ON

NOTE: If smoke reappears, fault is on left gen bus, left AC bus, left DC bus, or AC X-tie is shorted:

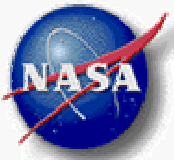
L GEN Switch	OFF
R GEN Switch	ON
F/O FLT INSTRUMENTS	CHECK
EMGNCY POWER Switch	OFF

NOTE: If smoke reappears, fault is on right gen bus, right AC bus, right DC bus, ground service AC bus, battery charger, or AC X-tie is shorted:

[END]

Philosophy of Response to Emergencies – Checklist Design

In a study of 15 in-flight fires that occurred between January 1967 and September 1998, the TSB of Canada determined that the average amount of time between the detection of an on-board fire and when the aircraft ditched, conducted a forced landing, or crashed was 17 minutes.

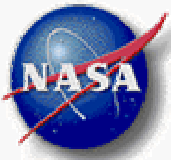


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Response to Emergencies:

Job Responsibilities Influence Perspectives and Behavior

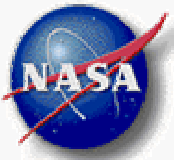


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MD-83 Jammed Stabilizer Trim – Port Hueneme, California – Jan. 31, 2000

DISPATCH: ...If uh you want to land at LA of course for safety reasons we will do that uh wu we'll uh tell you though that if we land in LA uh we'll be looking at probably an hour to an hour and a half we have a major flow program going right now uh that's for ATC back in San Francisco

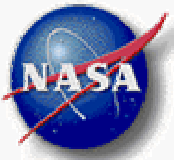


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MD-83 Jammed Stabilizer Trim – Port Hueneme, California – Jan. 31, 2000

LA-OPS: ok also uh....just be advised uh because you're an international arrival we have to get landing rights. I don't know how long that's gonna take me...but uh I have to clear it all through customs first.



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MD-83 Jammed Stabilizer Trim – Port Hueneme, California – Jan. 31, 2000

MX: yea did you try the suitcase handles and the pickle switches, right?

CA: yea we tried everything together, uh...we've run just about everything...

MX: um yea I just wanted to know if you tried the pickles switches and the suitcase handles to see if it was movin in with any of the uh other switches other than the uh suitcase handles alone or nothing

CA: yea we tried just about every iteration

MX: and alternate's inop too huh?

CA: yup, its just it appears to be jammed the uh the whole thing it spikes out when we use the primary. We got AC load that tells me the motor's tryin to run but the brake won't move it when we use the alternate. Nothing happens



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MD-83 Jammed Stabilizer Trim – Port Hueneme, California – Jan. 31, 2000

Dispatchers – Movement and scheduling of aircraft

Operations Agents – Take care of logistics related to landing

Maintenance Personnel – Fix broken airplanes

All were trying to do their jobs as they normally do them.

Very hard to set aside the mindset for normal mode of operations, recognize and communicate the severity of a situation, and to put all other considerations aside to get the airplane safely on the ground









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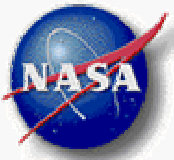


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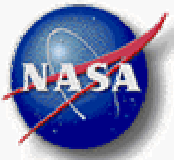
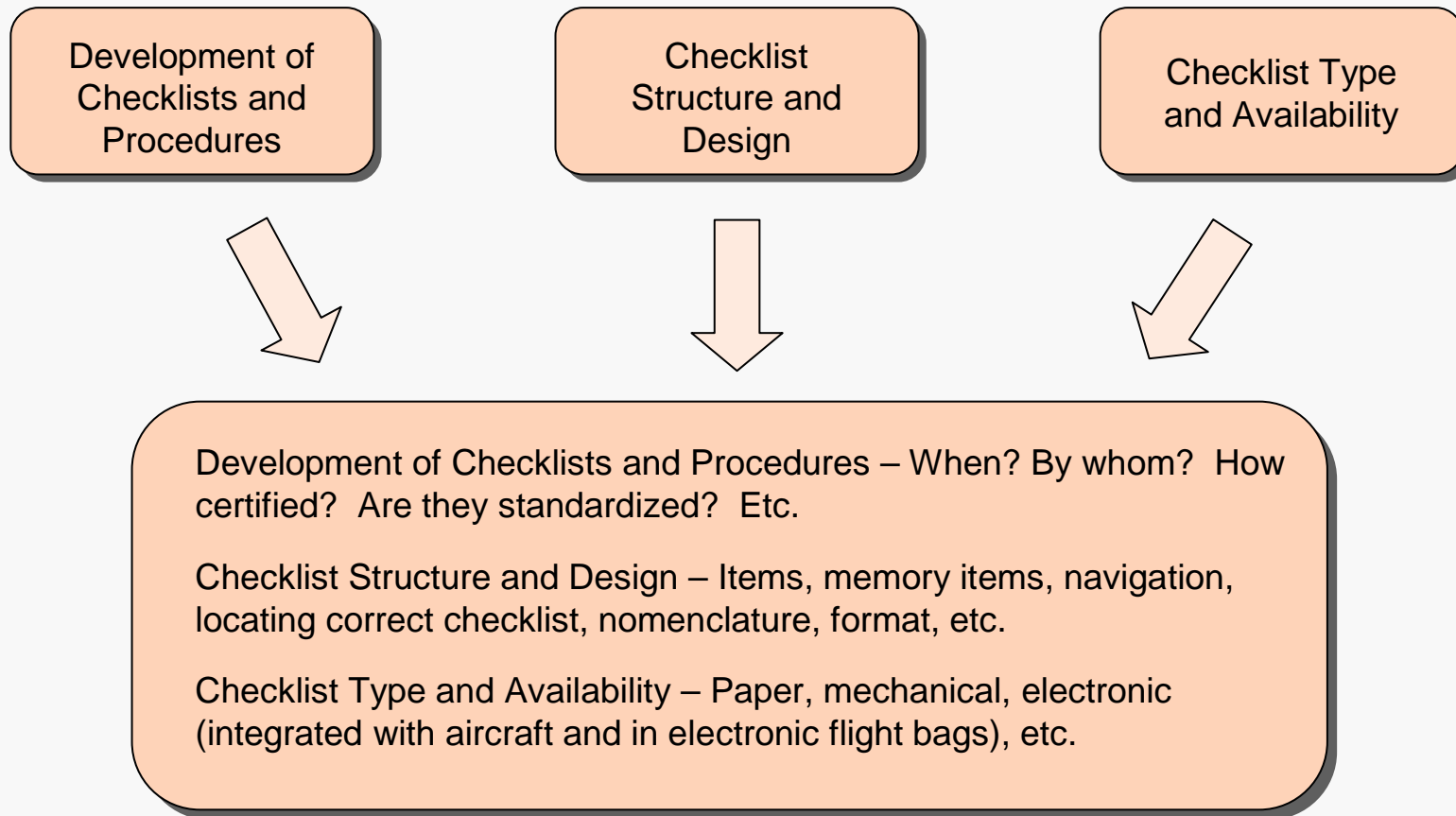
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Taxonomy of the Domain

Checklist and Procedures Issues

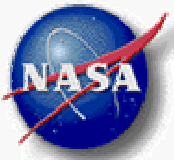


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DC-9 Hard Landing – Nashville, Tennessee – January 7, 1996

- Difficulty raising gear after takeoff from Atlanta
- Crew used UNABLE TO RAISE GEAR LEVER procedure in the QRH
- While still climbing, crew realized cabin pressurization and takeoff warning systems were still in the ground mode
- Crew pulled the ground control relay circuit breakers, as directed by same QRH checklist, to place systems in flight mode
- Later portion of the checklist directed the crew to reset the circuit breakers which they did on final approach approximately 100 feet (30.5 meters) above the ground
- Ground spoilers deployed, aircraft hit the ground very hard, nose wheel separated from the aircraft



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QRH

**QUICK REFERENCE HANDBOOK
PILOT MANUAL - DC-9**

UNABLE TO RAISE GEAR LEVER

NOSE STEERING WHEEL OPERATE (C)

If steering wheel does NOT turn and centering indices are aligned:
Indicates a malfunction of the anti-retraction mechanism.

If desired, retract landing gear:

GEAR HANDLE RELEASE BUTTON PUSH (PNF)

GEAR LEVER UP (PNF)

If steering wheel turns:
DO NOT RETRACT THE GEAR

Indicates ground shift mechanism is still in the ground mode.

No auto-pressurization, and takeoff warning horn will sound when flaps/slats are retracted.

The ground control relay electrical circuits can be placed in the flight mode by pulling the Ground Control Relay circuit breakers (H20 and J20).

Do not exceed VLE (300 kts/M.70).

Approach and landing:

If landing gear was not retracted prior to landing, ground spoilers must be operated manually.

AIRPLANE DEPRESSURIZE (PNF)

ANTI-SKID SWITCH (before 30 kts) OFF (PNF)

GROUND CONTROL RELAY C/Bs (if pulled) (H20 and J20) RESET (C or FO)

AOM

PAGE: A-11-2
DATE: 3/13/95
REVISION: 8

**ABNORMAL PROCEDURES
AIRCRAFT OPERATING MANUAL - DC-9**

UNABLE TO RAISE GEAR LEVER

NOTE

Indicates possible malfunction of ground shift.

|
|
|

Approach and landing:

If landing gear was not retracted prior to landing, ground spoilers must be operated manually.

AIRPLANE DEPRESSURIZE (PNF)

- Ensure airplane is depressurized prior to landing.

ANTI-SKID SWITCH (before 30 kts) OFF (PNF)

- During landing rollout and prior to 30 kts, momentarily release brakes and place Anti-skid switch to OFF

GROUND CONTROL RELAY C/Bs (if pulled) (H20 and J20) RESET (C or FO)

- Reset Ground Control Relay circuit breakers during taxi and verify that circuits are in the ground mode.

SECTION 3-1

ONE ENGINE INOPERATIVE LANDING

- Plan a flaps 15 landing
- Minimum VREF 15 + 5 on final approach

DESCENT - APPROACH

ANTI-ICE..... AS REQUIRED
TCAS MODE SELECTOR T/A ONLY
ENG START SWITCH (Operating ENG) ON
ALTIMETER & INST SET & CHECKED
*EPR & IAS BUGS CHECKED & SET, VREF 15

* **NOTE** If additional Go-Around thrust is desired
accomplish the following below 10,000 ft:

ISOLATION VALVE..... CLOSE
NO 1 ENG BLD AIR SW OFF
APU BLD AIR SW ON

CAUTION Do not open the APU bleed
valve if the ENG FIRE LIGHT
remains illuminated:

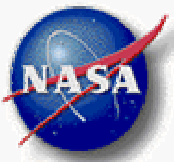
NO 2 ENG BLEED AIR SW OFF
(Add .03 to Go-Around EPR)

GROUND PROX INHIBIT
FUEL BALANCE

ASRS Report – Accession Number 437817

Hydraulic caution light illuminated while taxiing....I..completed the QRH checklist...We rolled to a stop in the grass...A very poorly written QRH emergency checklist, I believe should be modified and improved.

CALLBACK: ...The checklist is for use in-flight, not on the ground...no changes to the checklist have been made in the 2 months since the incident occurred.



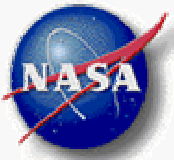
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ASRS Report – Accession Number 478230

Briefing message—stabilizer trim red box. This message has appeared on MD80 flight plans for at least 5 months, if not 6 months. This is supposedly a critical emergency procedure that is to be committed to memory, yet there has been no change whatsoever to the MD80 operating manual on the subject. No revisions. No change bulletin. Nothing.

During the last 6 months, there have been several bulletins issued, yet nothing on this critical red box change. Is the caution text supposed to be memorized? Is the note at the bottom supposed to be memorized? The lack of consistent publication of this red box item is only bound to cause problems for the airline and crews if there is an actual problem.



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C21A (Learjet 35A) Fuel Imbalance – Alexander City, Alabama - April 17, 1995

- During the flight and unknown to the crew, the right standby fuel pump continued to operate uncommanded after engine start because of two bonded contacts on the fuel-control panel
- This prevented fuel from being transferred to the right wing during normal transfer procedures - caused a severe fuel imbalance
- Control was lost of the aircraft while maneuvering for an emergency landing – all eight individuals on board perished

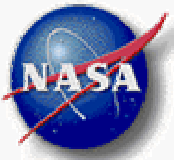


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C21A (Learjet 35A) Fuel Imbalance – Alexander City, Alabama - April 17, 1995

- ∅ The flight manual did not contain a checklist for correcting a fuel imbalance that occurs during the transfer of fuel
- ∅ Such a checklist was available from the manufacturer but the operator did not contract for flight manual updates from the manufacturer









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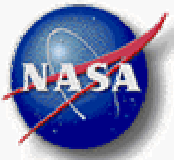


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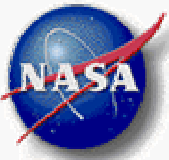
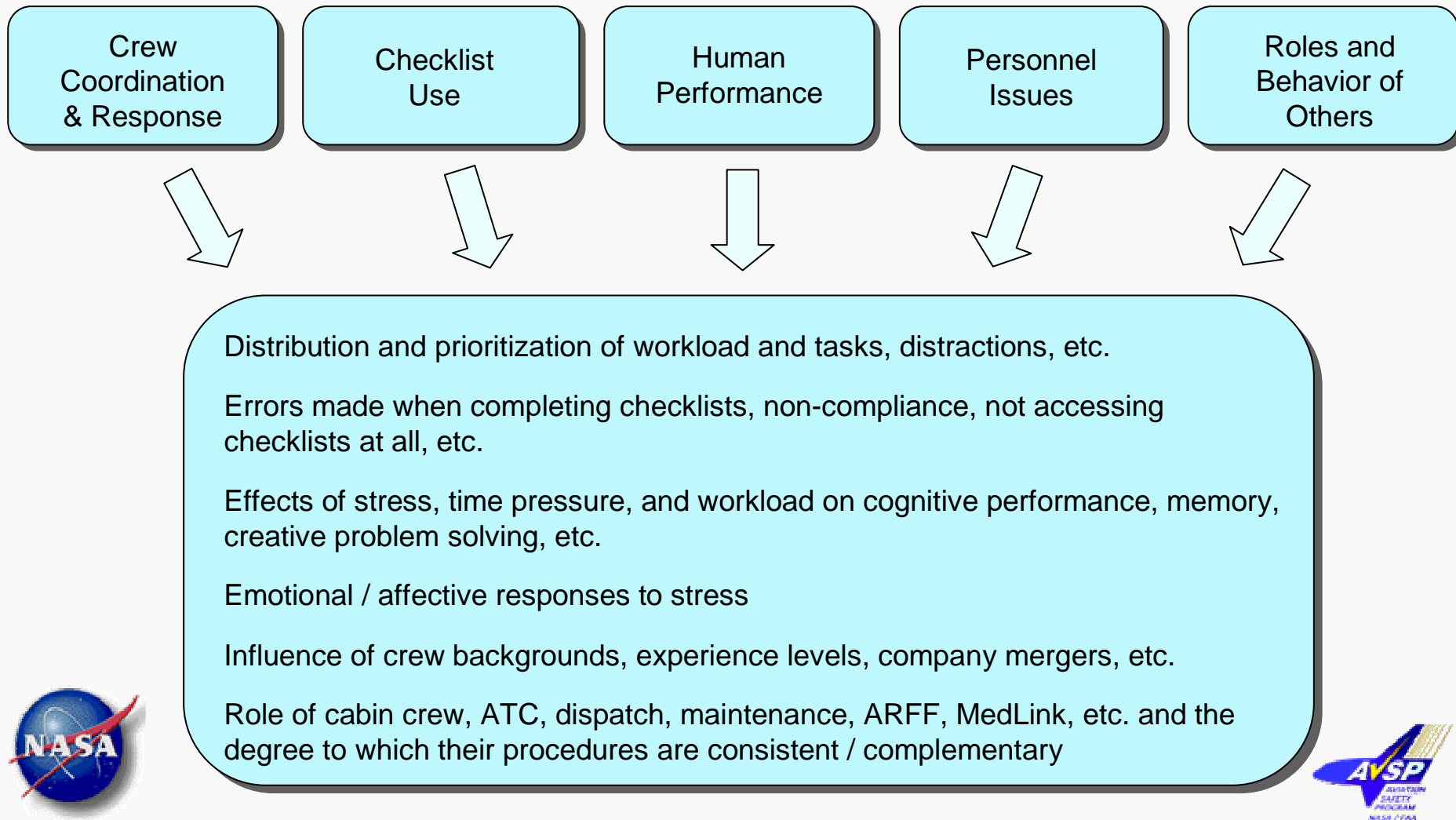
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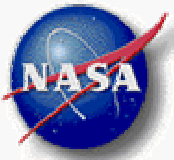
Taxonomy of the Domain

Issues Related to Humans



B727 Rapid Decompression – Indianapolis, Indiana – May 12, 1996

- Right before reaching cruise altitude at FL330 (10058.4 meters), cabin altitude warning sounded
- CA helped FE to find the button to turn it off and noticed that the second pack was off
- As per the CA's instructions, FE said he turned the right pack on and then "went to manual AC and closed the outflow valve"
- In actuality, it appears the FE opened the outflow valve and the aircraft rapidly lost pressurization
- The CA, FE, and lead flight attendant each lost consciousness for a brief time during the event

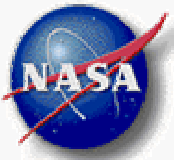


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B727 Rapid Decompression – Indianapolis, Indiana – May 12, 1996

∅ The FE did not use a checklist for re-instating the second pack



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PACK REINSTATEMENT FOLLOWING AUTO PACK TRIP

ELECTRONIC PRESSURIZATION

After 1000 Feet AFL:

Both Pack Switches OFF
Pack Reset Button PUSH
Auto Pack Trip Switch CUT OUT

If in AUTO mode:
One Pack Switch ON

Do not reinstate second pack unless flaps are retracted.

When ready to reinstate second pack:
Second Pack Switch ON

If in STANDBY mode:

- Cabin ALT Selector SET 2000 FEET
ABOVE AIRPLANE'S ALTITUDE
- Cabin Rate Switch FULL INCREASE
- One Pack Switch ON
After initial pressure surge and as rate of climb returns to zero:
Cabin ALT Selector SET CRUISE
CABIN PRESSURE ALTITUDE
- Cabin Rate Knob SET AT INDEX
OR AS REQUIRED

Adjust as required to maintain desired rate of change.

If in MANUAL mode:

- Outflow Valve 1/4 to 1/2 OPEN
- One Pack Switch ON
- Outflow Valve ADJUST TO MAINTAIN
DESIRED RATE OF CLIMB

When ready to reinstate second pack:

Cargo Heat Outflow Switch CLOSE
Second Pack Switch ON

When rate of climb stabilizes:

Cargo Heat Outflow Switch NORMAL

B727 Rapid Decompression – Indianapolis, Indiana – May 12, 1996

- ∅ The FE did not use a checklist for re-instating the second pack
- ∅ The CA did not call for and the crew did not complete any emergency checklists including the decompression checklist and emergency descent checklist
- ∅ The CA did not put his oxygen mask on immediately when the altitude warning sounded as required by procedures

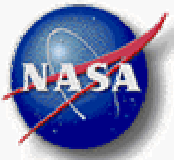


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DC-10 In-flight Fire – Newburgh, New York – September 5, 1996

- During cruise at 33,000 ft (10058.4 meters) cabin/cargo smoke warning light illuminated – the FO was the PF
- FE announced the memory items and then began to complete the printed SMOKE AND FIRE checklist
- The FE, without input from the CA, completed the checklist branch for “If Descent is NOT Required”



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DC-10 FLIGHT MANUAL

FIRE & SMOKE

1. Oxygen Mask & Smoke Goggles (As Required) ON, 100%
2. Crew & Courier Communications
Check Mike switches set to MASK, place cockpit speaker ON, place MIC SEL switch to FLT INT, and establish crew communication.

3. Cockpit Door & Smoke Screen
Close the cockpit door & smoke screen to exclude heavy concentrations of smoke. Leave door closed unless opening it is dictated by a greater emergency, and then at Captain's discretion.

4. If Descent is required PROCEED TO STEP 6
5. If Descent is NOT Required PROCEED TO STEP 14

WARNING

Should structural damage be suspected, limit airspeed. Gear and / or Speed Brakes may be used depending on type of damage.

6. Autopilot AS REQUIRED
7. Throttles IDLE
8. Speed Brake FULL
9. Airspeed MACH .82 TO .85 (320 TO 350 KIAS)

NOTE

If structural damage is known or suspected, use appropriate turbulence penetration speed.

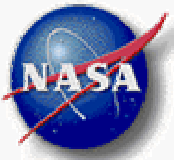
10. ATC NOTIFY
11. Transponder (if no contact with ATC) 7700
12. Tank Pumps ALL ON
13. Altimeter SET

14. Type Of Smoke Or Fire DETERMINE & PROCEED TO APPROPRIATE PROCEDURE. THIS CHAPTER

- A. **ELECTRICAL FIRE & SMOKE** : Can best be determined by smell or visible smoke from electrical components (e.g., circuit breaker, radio)
- B. **AIRCONDITIONING SMOKE** : Can best be recognized by smoke emanating from overhead air conditioning outlets.
- C. **CABIN CARGO SMOKE** : Can best be recognized by checking smoke detectors on the Second Officers panel, or by observing smoke or fire in the main deck cargo area.

DC-10 In-flight Fire – Newburgh, New York – September 5, 1996

- CA requested a descent and diversion 3 ½ minutes after the warning light illuminated
- The FE skipped two steps on the second checklist he completed:
CABIN/CARGO SMOKE LIGHT ILLUMINATED



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DC-10 FLIGHT MANUAL

CABIN CARGO SMOKE LIGHT ILLUMINATED

1. Pack Function Control Selectors TWO PACKS OFF
2. Cockpit Air Outlets OPEN
3. Courier Masks & Goggles VERIFY ON/100%
4. Airplane Altitude CAPTAIN'S DISCRETION
 - ☐ A. Land as soon as possible.
 - ☐ B. If above FL 270, consider descent to FL 270. Manually raise cabin altitude to 25,000 ft.
 - ☐ C. If below FL 270, and an immediate landing is not possible, climb to FL 270. Manually raise cabin altitude to 25,000 ft. using the MANUAL CAB ALT control wheel.
5. If unable To Extinguish Fire/Smoke MANUALLY RAISE CABIN ALTITUDE TO 25,000 FEET
6. Cabin Air Shutoff T-Handle PULL
7. Maintain 0.5 PSI Diff Pressure Below FL 270, Or 25,000 Ft. Cabin Altitude Above FL 270.
8. Fire CHECK EXTINGUISHED

NOTE

Operate the No. 1 Pack only, if available.

NOTE

Restricted articles container is designed to be "relatively" air tight so that any fire which may start inside will quickly consume all available oxygen. Depressurizing airplane will further deny oxygen to fire and should result in adequate fire control.



No crewmember should leave the cockpit to fight a fire except when it is determined that the fire is accessible and then only when measures already taken have not been effective. In addition, do not open restricted articles container during flight when a fire within is known or suspected.

9. If It Is Necessary To Leave The Cockpit To Fight A Fire:

- A. Protective Breathing Equipment DON/ACTIVATE

NOTE

The PBE is located in a container in the coat closet and should be worn when fighting an actual fire. The walk-around O₂ bottle is also available in the cockpit.

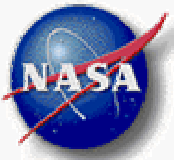
- B. Fire extinguisher OBTAIN
- C. Fire or smoke source EXTINGUISH

10. Land At Nearest Suitable Airport.

(End of Procedure)

DC-10 In-flight Fire – Newburgh, New York – September 5, 1996

- The emergency descent checklist was not called for or completed
- Upon landing, the aircraft was still partially pressurized and the crew's evacuation of the aircraft was impeded and delayed
- The crew did not complete the Evacuation Checklist



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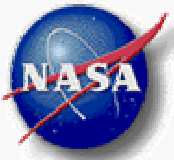
DC-10 In-flight Fire – Newburgh, New York – September 5, 1996

∅ The CA showed signs of being overloaded:

- Emergency descent was delayed
- Never called for any checklists to be completed
- Did not adequately monitor the FE's completion of checklists
- Mistakenly transmitted his remarks to the crew over the ATC frequency

• The CA was very busy:

- Monitoring the spread of the fire
- Communicating with ATC
- Trying to coordinate their diversion and emergency descent
- Monitoring the flying pilot (FO)
- Concerned with testing the fire detection system
- Interactions with the FE



Human Factors
research and technology



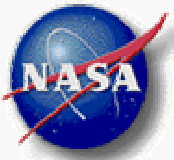
DC-10 In-flight Fire – Newburgh, New York – September 5, 1996

∅ The FE showed signs of being overloaded:

- Missed items on checklists
- Five times over the span of almost six minutes, he asked for the 3-letter identifier of the airport they were diverting to
- Did not adequately monitor the status of the aircraft pressurization

• The FE was very busy:

- Selecting and completing emergency checklists and procedures
- Trying to determine data and Vref speeds needed for landing
- Completing normal approach and landing checklists
- Monitoring the progress of the fire
- Working with the CA to test the fire detection system



Human Factors
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ASRS Report – Accession Number 437830

The...events took place over a time span of less than 4 minutes during a critical phase of flight...the events occurred simultaneously with radio transmissions, configuration changes, airspeed changes and constantly changing altitude...

What we learned from this event is that running the emergency checklists may not be a classical situation where one has plenty of time for analysis and application of curative measures.



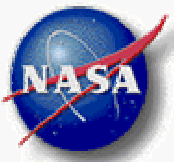
Human Factors
research and technology



ASRS Report – Accession Number 426768

During approach...the gear failed to come down...after notifying the tower we had a 'Gear Indication Problem'...

The QRH procedure...requires cycling the gear handle...after 4 or 5 attempts the landing gear came down...

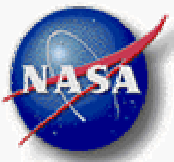


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ASRS Report – Accession Number 433902

We were told to execute a left 360 degree turn. We questioned this with the controller, but he said it was necessary for separation. We reluctantly complied since we did not have a need to land immediately. I felt that this was not acceptable, as we were an emergency.









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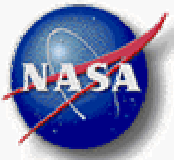


Emergency and Abnormal Situations Project

Taxonomy of the Domain

15 Different Categories of Issues:

-  Broad, Over-arching Issues
-  Issues Related to Checklists and Procedures
-  Issues Related to Humans
-  **Issues Related to the Aircraft**
-  Issues Related to Training
-  Selected Emergency Equipment and Evacuation Issues



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Emergency and Abnormal Situations Project

Taxonomy of the Domain

Issues Related to the Aircraft

Critical Aircraft
Systems

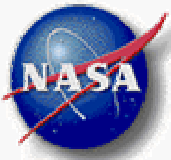
Automation
Issues

Systems within flight protection envelopes, automated systems, etc.

Warnings, warning systems, and “warning overload”

What kinds of automation should be used and under what circumstances and when should automation not be used?

Issues in reverting to manual flying, degradation in hand flying skills, etc.

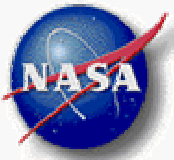


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MD-81 Dual Engine Failure – Gottrora, Sweden – December 27, 1991

- 25 seconds after departing Stockholm the right engine surged
- The left engine surged 39 seconds later
- 77 seconds into the flight both engines lost power
- Grey smoke filled the cockpit and the crew attempted an emergency landing using only back-up instruments as the EFIS screens were blank
- Despite the aircraft breaking into 3 pieces on landing, all 129 on board survived

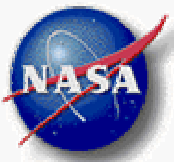


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MD-81 Dual Engine Failure – Gottrora, Sweden – December 27, 1991

- Ø On liftoff, clear ice was broken off the wings and ingested by the engines, damaging the fan stages. This damage lead to the engines surging
- Ø Without the crew noticing, engine power was increased automatically through the effect of Automatic Thrust Restoration (ATR) which caused an increase in the intensity of the surging and contributed to the failure of the engines
- Ø The airline company had no knowledge of ATR



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B757 Loss of Control – Puerto Plata, Dominican Republic – February 2, 1996

- During the takeoff roll the CA indicated that his airspeed indicator was not working
- It appeared to start working properly once the aircraft began to climb but significant discrepancies existed between the CA's, FO's, and alternate airspeed indicators
- A few seconds later two advisory messages appeared on the EICAS display:
RUDDER RATIO
MACH/SPD TRIM
- The overspeed warning clacker sounded



Human Factors
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B757 Loss of Control – Puerto Plata, Dominican Republic – February 2, 1996

- The center autopilot commanded an 18 degree nose up attitude and the autothrottles were at a very low power setting in response to very high airspeeds as indicated on the CA's PFD
- The autopilot and autothrottles disengaged
- The stall warning “stick shaker” was activated
- Great confusion reigned; power was applied and then removed more than once
- The FO selected Altitude Hold in an attempt to level off and give them time to sort out what was going on.
- However, the throttles were at too low of a power setting to maintain altitude

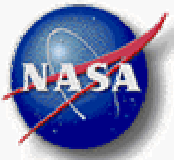


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B757 Loss of Control – Puerto Plata, Dominican Republic – February 2, 1996

- Ø Investigators determined that a pitot tube that provided information to the left Air Data Computer (ADC) was most likely completely blocked
- Ø The left ADC provided information to the CA's airspeed indicator and the center autopilot
- Ø There was no specific airspeed discrepancy warning on the B757
- Ø The crew did not attempt to clarify the RUDDER RATIO or MACH/SPD TRIM advisories but it is unlikely that any related checklists would have proved useful

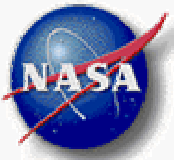


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B757 Loss of Control – Puerto Plata, Dominican Republic – February 2, 1996

- Ø Although the crew agreed that the alternate airspeed indicator was correct they continued to try to use (and be confused by) airspeed information on the PFDs
- Ø The contradictory warnings and indicators were confusing
- Ø The center autopilot and autothrottles contributed greatly to their problems at least initially
- Ø The crew did not attempt to fly the aircraft manually and continued to try use automation that did not help them (i.e., Altitude Hold)









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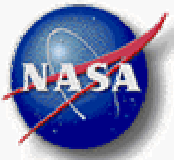


Emergency and Abnormal Situations Project

Taxonomy of the Domain

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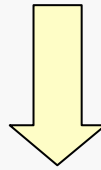


Emergency and Abnormal Situations Project

Taxonomy of the Domain

Issues Related to Training

Training



Relevant training technologies and approaches

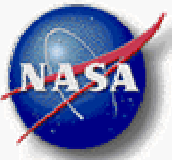
Initial vs. recurrent training in dealing with these situations

Skill acquisition and retention of procedures that are unpracticed or seldom practiced

Training for “textbook” vs. “nonstandard” situations

Training for handling single vs. multiple problems

Joint training of flight and cabin crews

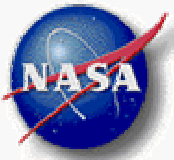


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BAe Jetstream 32 Loss of Control – Morrisville, NC – December 13, 1994

- Crew intercepted localizer ILS approach to runway 5L at Raleigh Durham – CA was the PF
- At final approach fix descending through 2,100 ft (640 meters) an illuminated ignition light led the CA to believe the left engine had flamed out
- No attempt was made to feather the propeller, secure the engine, or complete any abnormal or emergency checklists or procedures
- During a missed approach procedure, the CA lost control of the aircraft and it struck terrain – three passengers survived the accident
- The illuminated ignition light was actually a minor transient anomaly. Both engines functioned normally throughout the flight until impact

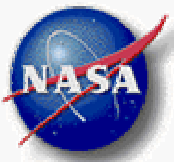


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BAe Jetstream 32 Loss of Control – Raleigh Durham, NC – Dec. 13, 1994

- ∅ Company provided incorrect training by associating the ignition light with an engine failure
- ∅ Training did not adequately address recognition of an engine failure at low power
- ∅ Company records did not provide enough evidence that training performance was properly monitored and managed



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ASRS Report – Accession Number 463186

Procedures and checklists worked well, but we did not don goggles (and ended up not needing them). The thing about goggles is they must be donned first – before the mask!

But procedures training and habit all result in donning the mask first. Then if the goggles are required, the mask has to be removed. 'Smoke Procedures' should call for goggles first without analysis for need.









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Emergency and Abnormal Situations Project

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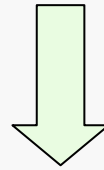


Emergency and Abnormal Situations Project

Taxonomy of the Domain

Selected Equipment and Evacuation Issues

Equipment and
Evacuation Issues

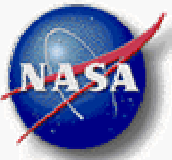


Equipment that is problematic to use in an emergency
(e.g., smoke goggles that do not fit over eyeglasses)

Inadequate training in the use of emergency equipment

Negative transfer (interference) of equipment usage across
different aircraft types

Confusion or problems regarding the initiation of evacuations

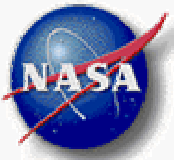


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717-200 – Flushing, New York – March 26, 2003 – Preliminary Report

- Nearing the final approach fix the engine and alert display (EAD) indicated that the left generator had failed
- The display units (DU) and standby instruments went dark and then began flashing off and on
- The crew then noticed a burning smell in the cockpit
- The forward flight attendants also noticed a burning smell in the cabin and determined the handset used to make announcements and contact the cockpit was inoperative
- After landing the lead flight attendant tried banging on the cockpit door and speaking loudly to get the attention of the flight crew



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717-200 – Flushing, New York – March 26, 2003 – Preliminary Report

∅ The flight crew did not hear the flight attendant banging on the door or speaking loudly

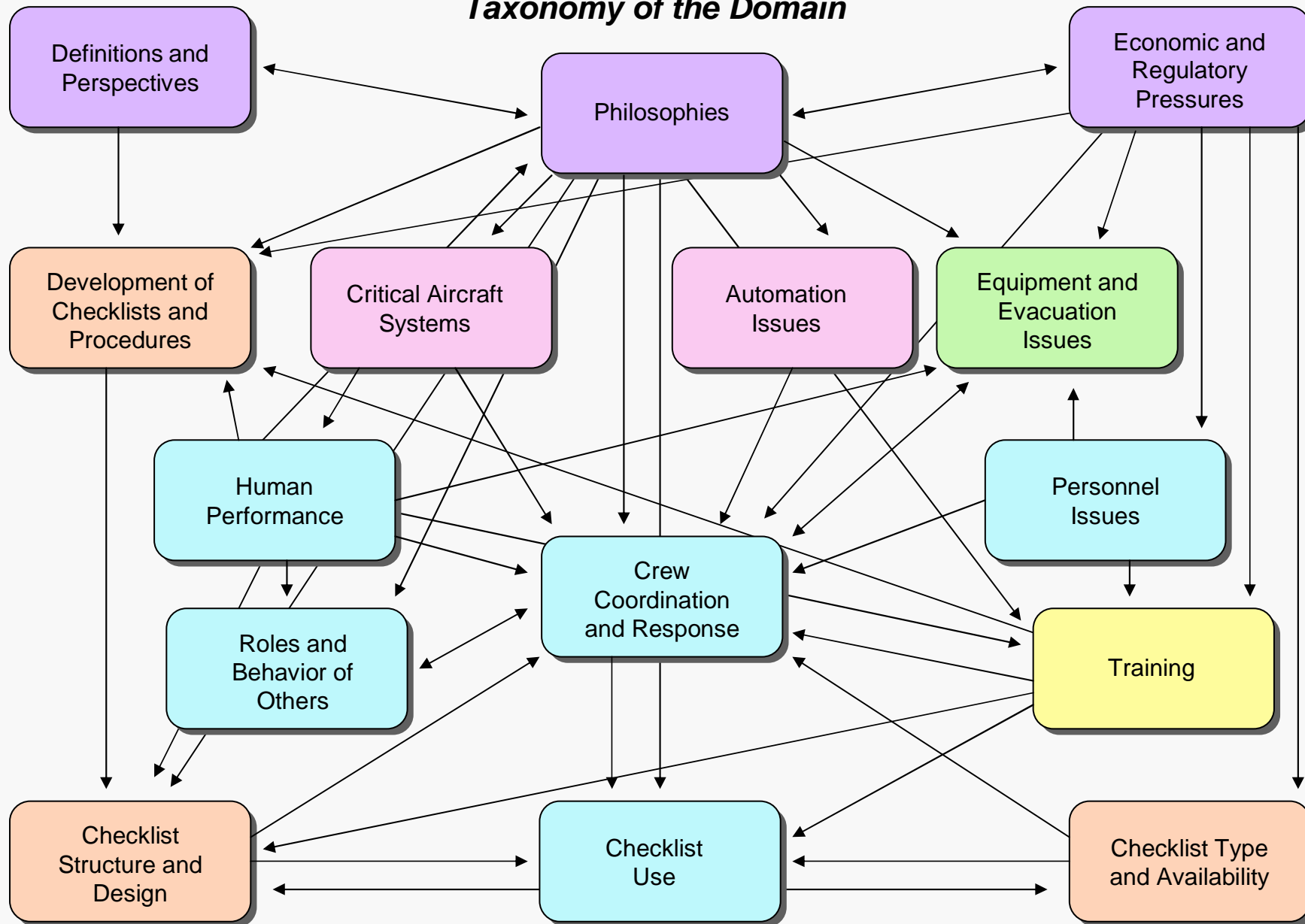


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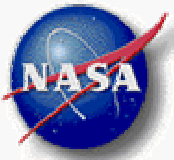
Emergency and Abnormal Situations Project

Taxonomy of the Domain



Approach

- Review: all existing guidelines, handbooks, bulletins, reports, recommendations, documents, and pertinent literature
- Analyze: ASRS reports, NTSB and FAA accident reports
- Study: philosophies, policies, practices, and procedures currently in use by manufacturers and air carriers
- Observe: normal air carrier operations, initial and recurrent emergency and abnormal training for flight crews
- Interview: manufacturer procedure developers, procedure certifiers, POIs, air carrier management, instructors, pilots, cabin crew, dispatchers, maintenance personnel, air traffic controllers, etc.
- Conduct: surveys, field studies, simulator studies, experimental lab studies

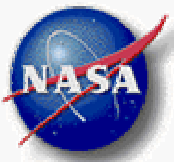


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Goal

Develop guidance for procedure development and certification, training, crew coordination, and situation management based on knowledge of the operational environment, human performance limitations, and cognitive vulnerabilities in real-world situations.



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Products and Deliverables

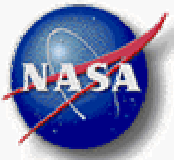
Intermediate Products:

Reports, Articles, Papers, Presentations

End Products:

Field Guides for

- Training Entities and Instructors
- Operators
- Manufacturers
- Regulatory Agencies
(Certification, POIs)



Human Factors
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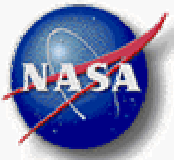


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